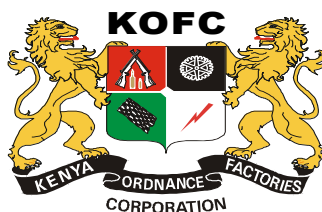


TENDER NO: KOFC/PQ/OVP/001/2021/2022



KENYA ORDNANCE FACTORIES CORPORATION

P.O BOX 6634 – 30100

ELDORET

PREQUALIFICATION DOCUMENT

FOR

**SUPPLY OF ASSORTED RAW MATERIALS FOR NATO
AND WARSAW AMMUNITION PRODUCTION (BRASS STRIPS,
COPPER CLAD, POWDER AND PRIMERS), CHEMICALS,
GENERAL ENGINEERING & CARBIDE TOOLS AND
MATERIALS.**

TENDERER DETAILS

FIRM'S NAME.....

P O BOX

TEL NO:

SIGNATURE:

COMPANY STAMP.....

EMAIL ADDRESS.....

SECTION I - INVITATION TO TENDER

DATE: 17 DECEMBER, 2021

TENDER REF NO: KOFC/PQ/OVP/001/2021/2022

TENDER NAME: SUPPLY OF ASSORTED RAW MATERIALS FOR NATO AND WARSAW AMMUNITION PRODUCTION (BRASS STRIPS, COPPER CLAD, POWDER AND PRIMERS), CHEMICALS, GENERAL ENGINEERING & CARBIDE TOOLS AND MATERIALS.

1.1. The Kenya Ordnance Factories Corporation (KOFC) intends to Prequalify Suppliers for the Supply of Assorted Raw Materials for NATO and WARSAW Ammunition Production (Brass Strips, Copper Clad, Powder and Primers), Chemicals, General Engineering & Carbide Tools and Materials.

1.2. Applicants may obtain further information from the office of **Procurement Manager KOFC P.O BOX 6634-30100 Tel: + 0794 517 532 and +254 722 209 639 Eldoret along Kitale road during normal working hours from 0800hrs to 1700hrs.**

1.3. Completed prequalification tender documents are to be enclosed in plain sealed envelopes **NOT** indicating the tenderer/ Applicants details but marked with tender reference number and tender name be deposited in the Tender Box at **KOFC main gate** so as to be received on or before **Thursday 27 January 2022 at 1000hrs (10:00 am).**

1.6 Tenders will be opened immediately thereafter in the presence of the Candidates or their representatives who choose to attend at **KOFC Board Room.**

1.7 Please read through this tender document carefully, fill and return both the Original and Duplicate copies of the tender together with all supporting documents.

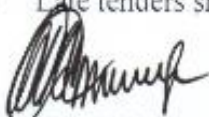
1.8 Applicants who choose to download the document from website are advised to forward their particulars immediately to procurement1mtl@kofcpr.co.ke for records and any further clarifications

1.9 Applicants are advised to regularly visit KOFC website to obtain any additional information/addendum on the registration. All addenda/additional information on registration shall be posted on KOFC website as they become available.

2.0 Kenya Ordnance Factories Corporation reserves the right to verify the information submitted.

2.1 Applicants are required to list range of products offered.

2.2 Late tenders shall not be accepted.



M N MWANGI

Brigadier

for Managing Director

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PART 1 - APPLICATION PROCEDURES

SECTION I - INSTRUCTIONS TO APPLICANTS (ITA)

A. General

1 Scope of Application

Kenya Ordnance Factories Corporation (KOFC) invites applications for prequalification of suppliers for supply of Assorted Raw Materials for NATO and WARSAW Ammunition Production (Brass Strips, Copper Clad, Powder and Primers), Chemicals, General Engineering & Carbide Tools And Materials.

2 Fraud and Corruption

2.1 The Government of Kenya requires compliance with its Anti-Corruption laws and its prevailing sanctions policies and procedures.

2.2 In further pursuance of this policy, Applicants shall permit and shall cause their agents (where declared or not), subcontractors, sub consultants, service providers, suppliers, and their personnel, to permit the Public Procurement Regulatory Authority (PPRA) to inspect all accounts, records and other documents relating to any initial selection process, prequalification process, tender submission (in case prequalified), proposal submission, and contract performance (in the case of award), and to have them audited by auditors appointed by the PPRA.

3 Collusive practices

3.1 Kenya Ordnance Factories Corporation (KOFC) requires compliance with the provisions of the Competition Act 2010, regarding collusive practices in contracting. Any applicant found to have engaged in collusive conduct shall be disqualified and criminal and/or civil sanctions may be imposed. To this effect, applicants shall be required to complete and sign a Certificate of Independent Tender Determination" annexed to the Form of applicant.

4 Eligible Applicants

4.1 Applicants shall meet the eligibility criteria as per this ITA and ITA 5.1 and 5.2. An Applicant may be a firm that is a private entity, a state-owned enterprise or institution subject to ITA 5.9 or any combination of such entities in the form of a joint venture ("JV") under an existing agreement or with the intent to enter into such an agreement supported by a letter of intent. In the case of a joint venture, all members shall be jointly and severally liable for the execution of the entire Contract in accordance with the Contract terms. The JV shall nominate a Representative who shall have the authority to conduct all business for and on behalf of any and all the members of the JV during the prequalification process, tendering (in the event the JV submits a Tender) and during contract execution (in the event the JV is awarded the Contract). Members of a joint venture may not also make an individual tender, be a subcontractor in a separate tender or be part of another joint venture for the purposes of the same Tender. The maximum number of JV members shall be specified in the PDS.

4.2 Public Officers of the Kenya Ordnance Factories Corporation (KOFC), their Spouses, Child, Parent, Brothers or Sister. Child, Parent, Brother or Sister of a Spouse, their business associates or agents and firms/organizations in which they have a substantial or controlling interest shall not be eligible to be prequalified. Public Officers with such relatives are also not allowed to participate in any procurement proceedings.

4.3 A firm may apply for prequalification both individually, and as part of a joint venture, or participate as a subcontractor. If prequalified, it will not be permitted to tender for the same contract both as an individual firm and as a part of the joint venture or as a subcontractor. However, a firm may participate as a subcontractor in more than one Tender, but only in that capacity. Applications submitted in violation of this procedure will be rejected.

4.4 A firm and any of its affiliates (that directly or indirectly control, are controlled by or are under common control with that firm) may submit its application for prequalification either individually, as joint venture or as a subcontractor among them for the same contract. However, if prequalified, only one prequalified Applicant will be allowed to tender for the. All Applications submitted in violation of this procedure will be rejected.

4.5 An Applicant may have the nationality of any country, subject to the restrictions pursuant to ITA 5.1 and 5.2. An Applicant shall be deemed to have the nationality of a country if the Applicant is constituted, incorporated or registered in and operates in conformity with the provisions of the laws

of that country, as evidenced by its articles of incorporation (or equivalent documents of constitution or association) and its registration documents, as the case may be. sub-contractors or suppliers for any part of the Contract including related Non-Consulting Services.

- 4.6 Applicants shall not have a conflict of interest. Applicants shall be considered to have a conflict of interest, if they, or any of their affiliates, participated as a consultant in the preparation of the design or technical specifications or have been hired or proposed to be hired by the Kenya Ordnance Factories Corporation (KOFC) as Engineer for contract implementation of the contract(s) that are the subject of this prequalification. In addition, Applicants may be considered to have a conflict of interest if they have a close business or family relationship with a professional staff of the Kenya Ordnance Factories Corporation (KOFC) who:
- a are directly or indirectly involved in the preparation of the prequalification Document or Invitation to Tender (ITT), Document or specifications of the Contract, and/or the Tender evaluation process of such Contract; or
 - b would be involved in the implementation or supervision of such Contract, unless the conflict stemming from such relationship has been resolved in a manner acceptable to Kenya Ordnance Factories Corporation (KOFC) throughout the prequalification, ITT process and execution of the Contract.
- 4.7 An Applicant that has been debarred shall be ineligible to be initially selected for, prequalified for, tender for, propose for, or be awarded a contract during such period of time as the PPRA shall have determined. The list of debarred firms and individuals is available at www.ppra.go.ke
- 4.8 Applicants that are state-owned enterprise or institutions in Kenya may be eligible to prequalify, compete and be awarded a Contract(s) only if they can establish, in a manner acceptable to the Kenya Ordnance Factories Corporation (KOFC), that they (i) are legally and financially autonomous (ii) operate under commercial law, and (iii) are not under supervision of any public entity.
- 4.9 An Applicant shall not be under sanction of debarment from Tendering by the PPRA as the result of the execution of a Tender/Proposal–Securing Declaration.
- 4.10 An Applicant that is a Kenyan firm or citizen shall provide evidence of having fulfilled his/her tax obligations by producing a current tax clearance certificate or tax exemption certificate issued by the Kenya Revenue Authority.
- 4.11 An Applicant shall provide any other such documentary evidence of eligibility satisfactory to the Kenya Ordnance Factories Corporation (KOFC), as the Kenya Ordnance Factories Corporation (KOFC) shall reasonably request.

5 Eligibility

- 5.1 Firms and individuals may be ineligible if they are nationals of ineligible countries as indicated herein. The countries, persons or entities are ineligible if:
- a. As a matter of law or official regulations, Kenya prohibits commercial relations with that country, or
 - b. By an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, Kenya prohibits any import of goods or contracting of works or Non- Consulting Services from that country, or any payments to any country, person, or entity in that country.
- 5.2 When the Works, supply of Goods or provision of non-consulting services are implemented a cross jurisdictional boundary (and more than one country is a procuring entity, and is involved in the procurement), then exclusion of a firm or individual on the basis of ITA 5.1 (a) above by any country may be applied to that procurement a cross other countries involved, if the Procuring Entities involved in the procurement so agree.
- 5.3 Any goods, works and production processes with characteristics that have been declared by the relevant national environmental protection agency or by other competent authority as harmful to human beings and to the environment shall not be eligible for procurement.

B. Contents of the Prequalification Documents

6 Sections of Prequalification Document

- 6.1 This Prequalification Document consists of parts 1 and 2 which comprise all the sections indicated below, and which should be read in conjunction with any Addendum issued in accordance with ITA 8.

PART 1 - Prequalification Procedures

- i) Section I- Instructions to Applicants (ITA)
- ii) Section II - Prequalification Data Sheet (PDS)
- iii) Section III - Qualification Criteria and Requirements
- iv) Section IV- Application Forms

PART 2 - Works, Goods, or Non-Consulting Services Requirements

- i) Section VII- Scope of Works, Goods, or Non-Consulting Services

- 6.2 Unless obtained directly from the Kenya Ordnance Factories Corporation (KOFC), the Kenya Ordnance Factories Corporation (KOFC) accepts no responsibility for the completeness of the document, responses to requests for clarification, the minutes of the pre-Application meeting (if any), or Addenda to the Prequalification Document in accordance with ITA 8. In case of any discrepancies, documents issued directly by the Kenya Ordnance Factories Corporation (KOFC) shall prevail.
- 6.3 The Applicant is expected to examine all instructions, forms, and terms in the Prequalification Document and to furnish with its Application all information or documentation as is required by the Prequalification Document.

7 Clarification of Prequalification Documents, site visit(s) and Pre-Application Meeting

- 7.1 An Applicant requiring any clarification of the Prequalification Document shall contact the Kenya Ordnance Factories Corporation (KOFC) in writing at the Kenya Ordnance Factories Corporation (KOFC)'s address indicated in the **PDS**. The Kenya Ordnance Factories Corporation (KOFC) will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline for submission of the applications. The Kenya Ordnance Factories Corporation (KOFC) shall forward a copy of its response to all prospective Applicants who have obtained the Prequalification Document directly from the Kenya Ordnance Factories Corporation (KOFC), including a description of the inquiry but without identifying its source. If so indicated in the **PDS**, the Kenya Ordnance Factories Corporation (KOFC) shall also promptly publish its response at the webpage identified in the **PDS**. Should the Kenya Ordnance Factories Corporation (KOFC) deem it necessary to amend the Prequalification Document as a result of a clarification, it shall do so following the procedure under ITA 8. And in accordance with the provisions of ITA 17.2.
- 7.2 The Applicant, at the Applicant's own responsibility and risk, is encouraged to visit and examine and inspect the Site of the required contracts and obtain all information that may be necessary for preparing the application. The costs of visiting the Site shall be at the Applicant's own expense. The Kenya Ordnance Factories Corporation (KOFC) shall specify in the **PDS** if a pre-application meeting will be held, when and where. The Kenya Ordnance Factories Corporation (KOFC) shall also specify in the **PDS** if a pre-arranged Site visit will be held and when. The Applicant's designated representative is invited to attend a pre- application meeting and a pre-arranged site visit. The purpose of the meetings will be to clarify issues and to answer questions on any matter that may be raised at that stage.
- 7.3 The Applicant is requested to submit any questions in writing, to reach the Kenya Ordnance Factories Corporation (KOFC) not later than the period specified in the **PDS** before the submission date of applications.
- 7.4 Minutes of a pre-arranged site visit and those of the pre-application meeting, if applicable, including the text of the questions asked by Applicants and the responses given, together with any responses prepared after the meeting, will be transmitted promptly to all Applicants who have acquired the prequalification documents. Minutes shall not identify the source of the questions asked.

- 7.5 The Kenya Ordnance Factories Corporation (KOFC) shall also promptly publish anonymized (*no names*) Minutes of the pre-arranged site visit and those of the pre-proposal meeting at the web page identified **in the PDS**. Any modification to the Prequalification Documents that may become necessary as a result of the pre-arranged site visit and those of the pre-application meeting shall be made by the Kenya Ordnance Factories Corporation (KOFC) exclusively through the issue of an Addendum pursuant to PDS 8 and not through the minutes of the pre-application meeting. Non-attendance at the pre-arranged site visit and the pre-tender meeting will not be a cause for disqualification of a Application.

8 Amendment of Prequalification Document

- 8.1 At any time prior to the deadline for submission of Applications, the Kenya Ordnance Factories Corporation (KOFC) may amend the Prequalification Document by issuing an Addendum.
- 8.2 Any Addendum issued shall be part of the Prequalification Document and shall be communicated in writing to all Applicants who have obtained the Prequalification Document from the Kenya Ordnance Factories Corporation (KOFC). The Kenya Ordnance Factories Corporation (KOFC) shall promptly publish the Addendum at the Kenya Ordnance Factories Corporation (KOFC)'s webpage identified in the PDS.
- 8.3 To give Applicants reasonable time to take an Addendum into account in preparing their Applications, the Kenya Ordnance Factories Corporation (KOFC) may, at its discretion, extend the deadline for the submission of Applications in accordance with ITA 17.2.

C. Preparation of Applications

9 Cost of Applications

- 9.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. The Kenya Ordnance Factories Corporation (KOFC) will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.

10 Language of Application

- 10.1 The Application as well as all correspondence and documents relating to the prequalification exchanged by the Applicant and the Kenya Ordnance Factories Corporation (KOFC), shall be written in English Language. Supporting documents and printed literature that are part of the Application may be in another language, provided they are accompanied by an accurate translation of the relevant passages in the English language, in which case, for purposes of interpretation of the Application, the translation shall govern.

11 Documents Comprising the Application

- 11.1 The Application shall comprise the following:
- Application Submission Letter, in accordance with ITA 13.1;
 - Eligibility: documentary evidence establishing the Applicant's eligibility, in accordance with ITA 14.1;
 - Qualifications: documentary evidence establishing the Applicant's qualifications, in accordance with ITA 15; and
 - Any other document required as specified in the PDS.
- 11.2 The Applicant shall furnish information on commissions and gratuities, if any, paid or to be paid to agents or any other party relating to this Application.

12 Application Submission Letter

- 12.1 The Applicant shall complete an Application Submission Letter as provided in Section IV (Application Forms). This Letter must be completed without any alteration to its format.

13 Documents Establishing the Eligibility of the Applicant

- 13.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Letter and Forms ELI (eligibility) 1.1 and 1.2, included in Section IV (Application Forms).

14 Documents Establishing the Qualifications of the Applicant

- 14.1 To establish its qualifications to perform the contract(s) in accordance with Section III, Qualification Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Information Sheets included in Section IV (Application Forms).
- 14.2 Wherever an Application Form requires an Applicant to state a monetary amount, Applicants should indicate the Kenya Shilling equivalent using the rate of exchange determined as follows:
- a For construction turnover or financial data required for each Year-Exchange rate prevailing on the last day of the respective calendar year (in which the amounts for that year is to be converted).
 - b Value of single Contract-Exchange rate prevailing on the date of the contract.
- 14.3 Exchange rates shall be taken from the publicly available source identified in the PDS. Any error in determining the exchange rates in the Application may be corrected by the Kenya Ordnance Factories Corporation (KOFC).
- 14.4 Applicants shall be asked to provide, as part of the data for qualification, such information, including details of ownership, as shall be required to determine whether, according to the classification established by the Kenya Ordnance Factories Corporation (KOFC), a particular contractor or group of contractors qualifies for a margin of preference. Further the information will enable the Kenya Ordnance Factories Corporation (KOFC) identify any actual or potential conflict of interest in relation to the procurement and/or contract management processes, or a possibility of collusion between Applicants, and thereby help to prevent any corrupt influence in relation to the procurement processor contract management.
- 14.5 The purpose of the information described in ITT 6.2 above overrides any claims to confidentiality which an Applicant may have. There can be no circumstances in which it would be justified for an Applicant to keep information relating to its ownership and control confidential where it is tendering to undertake public sector work and receive public sector funds. Thus, confidentiality will not be accepted by the Kenya Ordnance Factories Corporation (KOFC) as a justification for an Applicant's failure to disclose, or failure to provide required information on its ownership and control.
- 14.6 The Applicant shall provide further documentary proof, information or authorizations that the Kenya Ordnance Factories Corporation (KOFC) may request in relation to ownership and control which information on any changes to the information which was provided by the Applicant under ITT 6.3. The obligations to require this information shall continue for the duration of the procurement process and contract performance and after completion of the contract, if any change to the information previously provided may reveal a conflict of interest in relation to the award or management of the contract.
- 14.7 All information provided by the Applicant pursuant to these requirements must be complete, current and accurate as at the date of provision to the Kenya Ordnance Factories Corporation (KOFC). In submitting the information required pursuant to these requirements, the Applicant shall warrant that the information submitted is complete, current and accurate as at the date of submission to the Kenya Ordnance Factories Corporation (KOFC).
- 14.8 If an Applicant fails to submit the information required by these requirements, its application will be rejected. Similarly, if the Kenya Ordnance Factories Corporation (KOFC) is unable, after taking reasonable steps, to verify to a reasonable degree the information submitted by an Applicant pursuant to these requirements, then the application will be rejected.
- 14.9 If information submitted by an Applicant pursuant to these requirements, or obtained by the Kenya Ordnance Factories Corporation (KOFC) (whether through its own enquiries, through notification by the public or otherwise), shows any conflict of interest which could materially and improperly benefit the Applicant in relation to the procurement or contract management process, then:
- a. If the procurement process is still ongoing, the Applicant will be disqualified from the procurement process,
 - b. If the contract has been awarded to that Applicant, the contract award will be set aside,

- 14.10 the Applicant will be referred to the relevant law enforcement authorities for investigation of whether the Applicant or any other persons have committed any criminal offence.
- 14.11 If an Applicant submits information pursuant to these requirements that is incomplete, inaccurate or out-of-date, or attempts to obstruct the verification process, then the consequences ITT 6.7 will ensue unless the Applicant can show to the reasonable satisfaction of the Kenya Ordnance Factories Corporation (KOFC) that any such act was not material, or was due to genuine error which was not attributable to the intentional act, negligence or recklessness of the Applicant.

15 Signing of the Application and Number of Copies

- 15.1 The Applicant shall prepare one original of the documents comprising the Application as described in ITA 11 and clearly mark it "ORIGINAL". The original of the Application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant. In case the Applicant is a JV, the Application shall be signed by an authorized representative of the JV on behalf of the JV and so as to be legally binding on all the members as evidenced by a power of attorney signed by their legally authorized signatories.
- 15.2 The Applicant shall submit copies of the signed original Application, in the number specified in the PDS, and clearly mark them "COPY". In the event of any discrepancy between the original and the copies, the original shall prevail.

D. Submission of Applications

16 Sealing and Marking of Applications

- 16.1 The Applicant shall enclose the original and the copies of the Application in a sealed envelope that shall:
- a Bear the name and address of the Applicant;
 - b Be addressed to the Kenya Ordnance Factories Corporation (KOFC), in accordance with ITA 17.1; and
 - c Bear the specific identification of this prequalification process indicated in the PDS 1.1.
- 16.2 The Kenya Ordnance Factories Corporation (KOFC) will accept no responsibility for not processing any envelope that was not identified as required in ITA 16.1 above.

17 Deadline for Submission of Applications

Applicants may either submit their Applications by mail or by hand on or before **Thursday 27 January 2022** and address to:

**The Managing Director
Kenya Ordnance Factories Corporation
P.O BOX 6634-30100
Tel: + 254 775 095 974 and +254 722 209 639
Eldoret along Kitale road**

- 17.1 Kenya Ordnance Factories Corporation (KOFC) may, at its discretion, extend the deadline for the submission of Applications by amending the Prequalification Document in accordance with ITA 8, in which case all rights and obligations of the Kenya Ordnance Factories Corporation (KOFC) and the Applicants subject to the previous deadline shall thereafter be subject to the deadline as extended.

18 Late Applications

- 18.1 Kenya Ordnance Factories Corporation (KOFC) reserves the right to accept applications received after the deadline for submission of applications, unless otherwise specified in the **PDS**. If late applications will be accepted, they must be received not later than the date specified in the **TDS** after the deadline for submission of applications.

20. Opening of Applications

- 20.1 The Kenya Ordnance Factories Corporation (KOFC) shall open all Applications at the date, time and place specified in the **PDS**. Late Applications shall be treated in accordance with ITA 19.1.

- 20.2 Applications submitted electronically (if permitted pursuant to ITA 17.1) shall be opened in accordance with the procedures specified in the **PDS**.
- 20.2 The Kenya Ordnance Factories Corporation (KOFC) shall prepare a record of the opening of Applications to include, as a minimum, the name of the Applicants. A copy of the record shall be distributed to all Applicants.

E. Procedures for Evaluation of Applications

21 Confidentiality

- 21.1 Information relating to the Applications, their evaluation and results of the prequalification shall not be disclosed to Applicants or any other persons not officially concerned with the prequalification process until the notification of prequalification results is made to all Applicants in accordance with ITA 28.
- 21.2 From the deadline for submission of Applications to the time of notification of the results of the prequalification in accordance with ITA 28, any Applicant that wishes to contact the Kenya Ordnance Factories Corporation (KOFC) on any matter related to the prequalification process may do so only in writing.

22 Clarification of Applications

- 22.1 To assist in the evaluation of Applications, the Kenya Ordnance Factories Corporation (KOFC) may, at its discretion, ask an Applicant for a clarification (including missing documents) of its Application, to be submitted within a stated reasonable period of time. Any request for clarification from the Kenya Ordnance Factories Corporation (KOFC) and all clarifications from the Applicant shall be in writing.
- 22.1 If an Applicant does not provide clarifications and/or documents requested by the date and time set in the Kenya Ordnance Factories Corporation (KOFC)'s request for clarification, its Application shall be evaluated based on the information and documents available at the time of evaluation of the Application.

23 Responsiveness of Applications

- 23.1 The Kenya Ordnance Factories Corporation (KOFC) may reject any Application which is not responsive to the requirements of the Prequalification Document. In case the information furnished by the Applicant is incomplete or otherwise requires clarification as per ITA 21.1, and the Applicant fails to provide satisfactory clarification and/or missing information, it may result in disqualification of the Applicant.

24 Margin of Preference

- 24.1 Unless otherwise specified in the **PDS**, a margin of preference shall not apply in the Tendering process resulting from this prequalification.

25 Nominated Subcontractors

- 25.1 Unless otherwise stated in the PDS, the Kenya Ordnance Factories Corporation (KOFC) does not intend to execute any specific elements of the works by sub-contractors selected in advance by the Kenya Ordnance Factories Corporation (KOFC) (so-called "Nominated Subcontractors").
- 25.2 The Applicant shall not propose to subcontract the whole of the Works or Goods. The maximum limit of subcontracting permitted under the contract may be specified by the Kenya Ordnance Factories Corporation (KOFC) in the Tendering Document. The Kenya Ordnance Factories Corporation (KOFC), in ITA 25.2, may permit the Applicant to propose subcontractors for certain specialized parts of the contract as indicated there in as ("Specialized Subcontractors"). Applicants planning to use such Specialized Subcontractors shall specify, in the Application Submission Letter, the activity(ies) or parts of the Works proposed to be subcontracted along with details of the proposed subcontractors including their qualification and experience.

F. Evaluation of Applications and Prequalification of Applicants

26 Evaluation of Applications

- 26.1 The Kenya Ordnance Factories Corporation (KOFC) shall use the factors, methods, criteria, and requirements defined in Section III, Qualification Criteria and Requirements, to evaluate the qualifications of the Applicants, and no other methods, criteria, or requirements shall be used. The Kenya Ordnance Factories Corporation (KOFC) reserves the right to waive min or deviations from the qualification criteria if they do not materially affect the technical capability and financial resources of an Applicant to perform the Contract.
- 26.2 Subcontractors proposed by the Applicant shall be fully qualified and meet the minimum specific experience criteria as specified for their parts of the proposed contract for Works or Goods or non-consulting services. The subcontractor's qualifications shall not be used by the Applicant to qualify for the Works or Goods or non- consulting services unless their parts of the Works or Goods or non-consulting services were previously designated by the Kenya Ordnance Factories Corporation (KOFC) in the PDS as can be met by Specialized Subcontractors, in which case:
- i) The Specialized Subcontractors shall meet the minimum qualification requirements specified in Section III, and
 - ii) the qualifications with respect to specific experience of the Specialized Subcontractor proposed by the Applicant may be added to the qualifications of the Applicant for the purpose of the evaluation.
- Unless the Applicant has been determined prequalified on its own without taking into account the qualification and experience of the proposed specialized sub-contractor, the tender submitted by the Applicant shall include the same specialized sub-contractor failing which, such tender may be rejected unless a change in the specialized sub-contractor was requested by the Applicant and approved by the Kenya Ordnance Factories Corporation (KOFC) subsequent to prequalification but before the tender submission deadline in accordance with ITA 30.
- 26.3 In case of multiple applications, Applicants should indicate in their Applications the individual area (field) or combination of areas (fields) in which they are interested. The Kenya Ordnance Factories Corporation (KOFC) shall prequalify each Applicant for each field and for a combination of fields for which the Applicant has thereby indicated its interest and for which the Applicant meets the appropriate aggregate requirements the Eligibility and Qualification Criteria.
- 26.4 Further, in the case of multiple contracts, the Kenya Ordnance Factories Corporation (KOFC) will prepare the Eligibility and Qualification Criteria Form for items 3.1, 3.2, 4.2(a) and 4.2(b) for each Lot, to be completed by applicants.
- 26.5 Only the qualifications of the Applicant shall be considered. The qualifications of other firms, including the Applicant's subsidiaries, parent entities, affiliates, subcontractors (other than Specialized Subcontractors in accordance with ITA 25.2 above) or any other firm(s) different from the Applicant shall not be considered.

27 Kenya Ordnance Factories Corporation (KOFC)'s Right to Accept or Reject Applications

- 27.1 Kenya Ordnance Factories Corporation (KOFC) reserves the right to accept or reject any Application, and to annul the prequalification process and reject all Applications at any time, without thereby incurring any liability to the Applicants.

28 Prequalification of Applicants

- 28.1 All Applicants whose Applications substantially meet or exceed the specified qualification requirements will be prequalified by the Kenya Ordnance Factories Corporation (KOFC). The Kenya Ordnance Factories Corporation (KOFC) shall notify all Applicants in writing of the names of those Applicants who have been prequalified or conditionally prequalified. In addition, those Applicants who have been disqualified will be informed separately.
- 28.32 Applicants that have not been prequalified may write to the Kenya Ordnance Factories Corporation (KOFC) to request, in writing, the grounds on which they were disqualified.

28 Invitation to Tender

- 29.1 Promptly after the notification of the results of the prequalification, the Kenya Ordnance Factories Corporation (KOFC) shall invite Tenders from all the Applicants that have been prequalified or conditionally prequalified.
- 28.2 Applicants may be required to provide a Tender Security or a Tender-Securing Declaration acceptable to the Kenya Ordnance Factories Corporation (KOFC) in the form and an amount to be specified in the tendering document.
- 28.3 The successful Applicant shall be required to provide a Performance Security as specified in the tendering document.

29 Changes in Qualifications of Applicants

- 30.1 Any change in the structure or formation of an Applicant after being prequalified in accordance with ITA 27 and invited to tender (including, in the case of a JV, any change in the structure or formation of any member and also including any change in any specialized subcontractor whose qualifications were considered to prequalify the Applicant) shall be subject to the written approval of the Kenya Ordnance Factories Corporation (KOFC) prior to the deadline for submission of Applications. Such approval shall be denied if (i) a prequalified applicant proposes to associate with a disqualified applicant or in case of a disqualified joint venture, any of its members; (ii) as a consequence of the change, the Applicant no longer substantially meets the qualification criteria set forth in Section III (Qualification Criteria and Requirements); or (iii) in the opinion of the Kenya Ordnance Factories Corporation (KOFC), the change may result in a substantial reduction in competition. Any such change should be submitted to the Kenya Ordnance Factories Corporation (KOFC) not later than fourteen (14) days after the date of the Invitation to Tender.

31 Procurement Related Complaints and Administrative Review

- 31.1 The procedures for making a Procurement-related Complaint are as specified in the PDS.
- 31.2 A request for administrative review shall be made in the form provided.

SECTION II - PREQUALIFICATION DATA SHEET (PDS)

Reference to ITC Clause	PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERS
A. General	
ITA 1.1	<p>Procuring Entity - The Kenya Ordnance Factories Corporation (KOFC) Tender No; KOFC/PQ/OVP/001/2021-22</p> <p>The application is for prequalification of Suppliers for the Supply of Assorted Raw Materials for NATO and WARSAW Ammunition Production (Brass Strips, Copper Clad, Powder and Primers), Chemicals, General Engineering & Carbide Tools and Materials</p>
ITA 2	The Source of funds shall be - Kenya Ordnance Factories Corporation
ITA 5.2	Maximum number of members in the JV shall be: _____ <i>[Not Required]</i>
B. Contents of the Prequalification Document	
ITA 8.1	<p>All clarification to be addressed to:</p> <p style="text-align: center;">The Managing Director Kenya Ordnance Factories Corporation P.O BOX 6634-30100 Tel:+ 254 775 095 974 and +254 722 209 639 Eldoret along Kitale road Email: ovproc@kofcpr.co.ke</p>
ITA 8.2	A pre-application meeting will be held on (Not Applicable)
ITA 8.3	Questions and requests for clarification made in writing or by email shall reach the Kenya Ordnance Factories Corporation (KOFC) not later than 20th January 2022
ITA 8.5	Minutes of the pre-arranged site visit and those of the pre-proposal meeting at the web page (Not Applicable)
ITT 9.2	Addendum issued shall be published at the website www.kofc.co.ke
ITA 8.2	Pre-Application Meeting will not be held:
C. Preparation of Applications	
ITA 12.1 (d)	<p>The Applicant shall submit with its Application, the following additional documents:</p> <ol style="list-style-type: none"> <i>Certificate of Incorporation/Business Registration</i> <i>Export License</i> <i>Trading License</i>
ITA 15.2(b)	The source for determining exchange rates is <i>Central Bank of Kenya Exchange Rate</i>
ITA 16.2	The Applicant to submit both original and a copy of the Application
D. Submission of Applications	
ITA 17.1	<p>The deadline for Application submission is: Date: <i>27 January 2022</i> Time: <i>1000hrs East Africa Time</i> Hard Copies of Applications to be submitted to:</p> <p style="text-align: center;">The Managing Director Kenya Ordnance Factories Corporation P.O BOX 6634-30100 Tel:+ 254 775 095 974 and +254 722 209 639 Eldoret along Kitale road Email: ovproc@kofcpr.co.ke</p> <p>Applicants shall have the option of submitting their Applications electronically via</p>

Reference to ITC Clause	PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERS
A. General	
	email address ovproc@kofcpr.co.ke
ITA 18.1	The Kenya Ordnance Factories Corporation (KOFC) reserves the right to accept or reject late Applications.
ITA 19.1	The Kenya Ordnance Factories Corporation (KOFC) will not accept late applications.
ITA 20.1	The opening of the Applications shall be done at Board Room, Kenya Ordnance Factories Corporation P.O BOX 6634-30100 on 27 January 2022 at 1000hrs East Africa Time.
ITA 20.2	The electronic Application shall be opened on 27 January 2022 at 1000hrs East Africa Time in presents of tenderers representatives.
E. Procedures for Evaluation of Applications	
ITA 24.1	A margin of preference <i>shall not</i> apply.
ITA 25.1	The Kenya Ordnance Factories Corporation (KOFC) <i>does not intend</i> to execute certain specific parts of the Works by sub-contractors selected in advance.
ITA 25.2	The parts of the Works for which the Kenya Ordnance Factories Corporation (KOFC) permits Applicants to propose Specialized Subcontractors are designated as follows: (Not Applicable)
ITA 31.1	An Applicant wishes to make a Procurement-related Complaint, the Applicant should submit its complaint in writing (by the quickest means available, that is either email to ovpro@kofcpr.co.ke or by hand delivery to: The Managing Director Kenya Ordnance Factories Corporation P.O BOX 6634-30100 Tel:+ 254 775 095 974 and +254 722 209 639 Eldoret along Kitale road Email: ovproc@kofcpr.co.ke

SECTION III - QUALIFICATION CRITERIA AND REQUIREMENTS

1. Kenya Ordnance Factories Corporation (KOFC) shall use to evaluate Applications, all in one Form “Eligibility and Qualification Criteria”. The information to be provided in relation to each requirement and the definitions of the corresponding terms are as tabulated below.

SER	EVALUATION REQUIREMENT	Remarks
1.	REGISTRATION OF THE EVALUATED FRIM	
	a. Certificate of Incorporation/Business Registration	Must meet requirement
	b. Export License	Must meet requirement
	c. Trading License	Must meet requirement
2.	THE PHYSICAL EXISTENCE OF THE FIRM/OFFICES	
	a. Actual Physical Location/Office	Must meet requirement
3.	Applicant to list range of products offered.	Must meet requirement

Eligibility and Qualification Criteria			Document/ Form	
No.	Subject	Requirement	Single Entity	Submission Requirement
1.1	Nationality	Nationality in accordance with ITA 5.6	Must meet requirement	Forms ELI – 1.1 and 1.2, with attachments
1.2	Conflict of Interest	No conflicts of interest in accordance with ITA 5.7	Must meet requirement	Application Submission Letter
1.3	Eligibility	Not declared ineligible by not meeting any of the conditions in ITA 5 and 6.	Must meet requirement	Application Submission Letter
1.4	State-owned Entity in Kenya	Applicant required to meet conditions of ITA 5.9	Must meet requirement	Forms ELI -1.1 and 1.2, with attachments
1.5	United Nations resolution or laws of Kenya	Not having been excluded as a result of prohibition in the laws of Kenya or official regulations against commercial relations with Kenya, or by an act of compliance with UN Security Council resolution, both in accordance with ITA 6.1 and 6.2 and Section V.	Must meet requirement	Forms ELI – 1.1 and 1.2, with attachments

Eligibility and Qualification Criteria			Document/ Form	
No.	Subject	Requirement	Single Entity	Submission Requirement
2.1	History of Non-Performing Contracts	Non-performance of a contract ¹ did not occur as a result of contractor's default since 1 st January <i>[insert year]</i> .	Must meet requirement ¹	Form CON-2
2.2	Suspension Based on Execution of Tender/Proposal Securing Declaration by the Kenya Ordnance Factories Corporation (KOFC)	Not under suspension based on execution of a Tender/Proposal Securing Declaration pursuant to ITA 5.10.	Must meet requirement	Application Submission Letter
2.3	Pending Litigation	Applicant's financial position and prospective long-term profitability still sound according to criteria established in 3.1 below and assuming that all pending litigation will be resolved against the Applicant	Must meet requirement	Form CON – 2
2.4	Litigation History	No consistent history of court/arbitral award decisions against the Applicant ² since 1 st January <i>[insert year]</i>	Must meet requirement	Form CON – 2
3.1	Financial Capabilities	<p>(i) The Applicant shall demonstrate that it has access to, or has available, liquid assets, unencumbered real assets, lines of credit, and other financial means (independent of any contractual advance payment) sufficient to meet the construction cash flow requirements estimated as _____ <i>[insert amount in KENYA SHILLINGS]</i> for the subject contract(s) net of the Applicants other commitments</p> <p>(ii) The Applicant shall also demonstrate, to the satisfaction of the Kenya Ordnance Factories Corporation (KOFC), that it has adequate sources of finance to meet the cash flow requirements on works currently in progress and for future contract commitments.</p> <p>(iii) The audited balance sheets or, if not required by the laws of Kenya, other financial statements acceptable to the Kenya Ordnance Factories Corporation (KOFC), for the last <i>[insert number]</i> years shall be submitted and must demonstrate the current soundness of the Applicant's</p>	<p>Must meet requirement</p> <p>Must meet requirement</p> <p>Must meet requirement</p>	Form FIN – 3.1, with attachments

¹ Nonperformance, as decided by the Kenya Ordnance Factories Corporation (KOFC), shall include all contracts where (a) nonperformance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Nonperformance shall not include contracts where Kenya Ordnance Factories Corporation (KOFC)'s decision was overruled by the dispute resolution mechanism. Nonperformance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

² The Applicant shall provide accurate information on the related Application Form about any litigation or arbitration resulting from contracts completed or ongoing under its execution over the last five years. A consistent history of awards against the Applicant or any member of a joint venture may result in rejection of the Application.

Eligibility and Qualification Criteria			Document/ Form	
No.	Subject	Requirement	Single Entity	Submission Requirement
		financial position and indicate its prospective long-term profitability.		
3.2	Average Annual Turnover	Minimum average annual turnover of KENYA SHILLINGS <i>[insert amount in KENYA SHILLING equivalent in words and figures]</i> , calculated as total certified payments received for contracts in progress and/or completed within the last <i>[insert number]</i> years, divided by <i>[insert number of years in words]</i> years	Must meet requirement	Form FIN – 3.2
4	Experience			
4.1 (a)	General Experience	Experience under construction contracts in the role of prime contractor, JV member, subcontractor, or management contractor for at least the last <i>[insert number]</i> years, starting 1 st January _____ <i>[insert year]</i> .	Must meet requirement	Form EXP – 4.1
4.2 (a)	Specific & Contract Management Experience	(i) A minimum number of <i>[state the number]</i> similar contracts specified below that have been satisfactorily and substantially ³ completed as a prime contractor, joint venture member ⁴ , management contractor or subcontractor between 1st January <i>[insert year]</i> and Application submission deadline:	Must meet requirement	Form EXP 4.2(a)
4.2 (b)		For the above and any other contracts [substantially completed and under implementation] as prime contractor, joint venture member, or sub-contractor between 1st January <i>[insert year]</i> and Application submission deadline, a minimum construction experience in the following key activities successfully completed ⁵ : <i>[list key activities indicating volume, number or rate of production as applicable]</i> . <i>Under 4.2(a), specified requirements define similarity of contracts, whereas the key activities or production rates to be specified under 4.2 (b) define the required capability of the Applicant to execute the Works. There shall not be any inconsistency or repetition of requirement between 4.2(a) and 4.2(b). For the rate of production, specify that the rate of production shall be on the basis of either the average during the entire specified period OR the rate of annual production in any 12-month</i>	Must meet requirements <i>[Specify activities that may be met through a specialized subcontractor, if permitted in accordance with ITA 25.2]</i>	Form EXP – 4.2 (b)

³ Substantial completion shall be based on 80% or more works completed under the contract.

⁴ For contracts under which the Applicant participated as a joint venture member or sub-contractor, only the Applicant's share, by value, and role and responsibilities shall be considered to meet this requirement.

⁵ Volume, number or rate of production of any key activity can be demonstrated in one or more contracts combined if executed during same time period.

Eligibility and Qualification Criteria			Document/ Form	
No.	Subject	Requirement	Single Entity	Submission Requirement
		<i>period in the specified period,]</i> ⁶		
4.3 (a)	Specific Management Experience in supply of goods	<p>(i) A minimum number of <i>[state the number]</i> similar contracts specified below that have been satisfactorily and substantially⁷ completed as a prime supplier, joint venture member⁸, management contractor or subcontractor between 1st January <i>[insert year]</i> and Application submission deadline:</p> <p>The similarity of the contracts shall be based on the following: <i>[Based on Section VII, Scope of goods contracts, specify the minimum key requirements in terms of physical size, complexity, construction method, technology and/or other characteristics including part of the requirements that may be met by Specialized Subcontractors, if permitted in accordance with ITA 25.2]</i></p>	Must meet requirement	Form EXP 4.3(a)
4.3 (b)		<p>For the above and any other contracts [substantially completed and under implementation] as prime supplier, joint venture member, or sub-contractor between 1st January <i>[insert year]</i> and Application submission deadline, a minimum construction experience in the following key activities successfully completed⁹: <i>[list key activities indicating volume, number or rate of production as applicable.</i></p> <p><i>Under 4.3(a), specified requirements define similarity of contracts, whereas the key activities or production rates to be specified under 4.2 (b) define the required capability of the Applicant to execute the Works. There shall not be any inconsistency or repetition of requirement between 4.3(a) and 4.3(b). For the rate of production, specify that the rate of production shall be on the basis of either the average during the entire specified period OR the rate of annual production in any 12-month period in the specified period,]</i>¹⁰</p>	Must meet requirements <i>[Specify activities that may be met through a specialized subcontractor, if permitted in accordance with ITA 25.2]</i>	Form EXP – 4.2 (b)

⁶ The minimum experience requirement for multiple contracts will be the sum of the minimum requirements for respective individual contracts, unless specified otherwise.

⁷ Substantial completion shall be based on 80% or more works completed under the contract.

⁸ For contracts under which the Applicant participated as a joint venture member or sub-contractor, only the Applicant's share, by value, and role and responsibilities shall be considered to meet this requirement.

⁹ Volume, number or rate of production of any key activity can be demonstrated in one or more contracts combined if executed during same time period.

¹⁰ The minimum experience requirement for multiple contracts will be the sum of the minimum requirements for respective individual contracts, unless specified otherwise.

SECTION IV- APPLICATION FORMS

1. Application Submission Letter

Date:[insert day, month, and year]

ITT No. and title: [insert ITT number and title]

To:[insert full name of Kenya Ordnance Factories Corporation (KOFC)]

We, the undersigned, apply to be prequalified for the referenced ITT and declare that:

- a) No reservations: We have examined and have no reservations to the Prequalification Document, including Addendum(s) No(s), issued in accordance with ITA 8: [insert the number and issuing date of each addendum].
- b) No conflict of interest: We have no conflict of interest in accordance with ITA 5.7;
- c) Eligibility: We (and our subcontractors) meet the eligibility requirements as stated ITA 5, we have not been suspended by the Kenya Ordnance Factories Corporation (KOFC) based on execution of a Tender/Proposal-Securing Declaration in accordance with ITA 5.8;

Suspension and Debarment: We, along with any of our subcontractors, suppliers, consultants, manufacturers, or service providers for any part of the contract, are not subject to, and not controlled by any entity or individual that is subject to, a temporary suspension or a debarment imposed by the PPRA. Further, we are not ineligible under the Kenya laws or official regulations or pursuant to a decision of the United Nations Security Council;

State-owned enterprise or institution: [select the appropriate option and delete the other] [We are not a state-owned enterprise or institution] / [We are a state-owned enterprise or institution but meet the requirements of ITA5.9];

- f) Subcontractors and Specialized Subcontractors: We, in accordance with ITA 24.2 and 25.2, plan to subcontract the following key activities and/or parts of the works or supply contracts:
[Insert any of the key activities identified in Section III-4.2 (a) or (b) or 4.3(a) or (b) which the Kenya Ordnance Factories Corporation (KOFC) has permitted under the Prequalification Document and which the Applicant intends to subcontract along with complete details of the Specialized Subcontractors, their qualification and experience]
- (g) Commissions, gratuities, fees: We declare that the following commissions, gratuities, or fees have been paid or are to be paid with respect to the prequalification process, the corresponding Tendering process or execution of the Contract:

<u>Name of Recipient</u>	<u>Address</u>	<u>Reason</u>	<u>Amount</u>
[insert full name for each occurrence]	[insert street/ number/city/country]	[indicate reason]	[specify amount currency, value, exchange rate and KENYA SHILLING equivalent]
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

[If no payments are made or promised, add the following statement: "No commissions or gratuities have been or are to be paid by us to agents or any third party relating to this Application"]

- (h) Not bound to accept: We understand that you may cancel the prequalification process at any time and that you are neither bound to accept any Application that you may receive nor to invite the prequalified Applicants to Tender for the contract subject of this Prequalification process, without incurring any liability to the Applicants, in accordance with ITA 26.1.
- (i) True and correct: All information, statements and description contained in the Application are in all respect true, correct and complete to the best of our knowledge and belief.

Signed.....*[insert signature(s) of an authorized representative(s) of the Applicant]*

Name*[insert full name of person signing the Application]*

In the capacity of *[insert capacity of person signing the Application]*

Duly authorized to sign the Application for and on behalf of: Applicant's

Name..... *[insert full name of Applicant or the name of the JV]*

Address *[insert street number/town or city/country address]*

Dated on*[insert day number]* day of *[insert month]*, *[insert year]*

[For a joint venture, either all members shall sign or only the authorized representative, in which case the power of attorney to sign on behalf of all members shall be attached]

2 Form ELI -1.1 - Applicant Information Form

Date: *[insert day, month, year]*

ITT No. and title: *[insert ITT number and title]*

Page.....*[insert page number]* of *[insert total number]* pages

Applicant's name <i>[insert full name]</i>
In case of Joint Venture (JV), name of each member: <i>[insert full name of each member in JV]</i>
Applicant's actual or intended country of registration: <i>[indicate country of Constitution]</i>
Applicant's actual or intended year of incorporation: <i>[indicate year of Constitution]</i>
Applicant's legal address [in country of registration]: <i>[insert street/ number/ town or city/ country]</i>
Applicant's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i>
1. Attached are copies of original documents of <input type="checkbox"/> Articles of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITA 5.6. <input type="checkbox"/> In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 5.3. <input type="checkbox"/> In case of state-owned enterprise or institution, in accordance with ITA 5.9 documents establishing: Legal and financial autonomy Operation under commercial law Establishing that the Applicant is not under supervision of the Kenya Ordnance Factories Corporation (KOFC) 2. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership.

3. Form ELI-1.2 - Applicant's JV Information Form

[The following form is additional to Form ELI-1.1., and shall be completed to provide information relating to each JV member (incase the Applicant is a JV) as well as any Specialized Subcontractor proposed to be used by the Applicant for any part of the Contract resulting from this prequalification]

Date: *[insert day, month, year]*

ITT No. and title: *[insert ITT number and title]*

Page.....*[insert page number]* of *[insert total number]* pages

Applicant name: <i>[insert full name]</i>
Applicant's JV Member's name: <i>[insert full name of Applicant's JV Member]</i>
Applicant's JV Member's country of registration: <i>[indicate country of registration]</i>
Applicant JV Member's year of constitution: <i>[indicate year of constitution]</i>
Applicant JV Member's legal address in country of constitution: <i>[insert street/ number/ town or city/ country]</i>
Applicant JV Member's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i>
1. Attached are copies of original documents of <input type="checkbox"/> Articles of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITA 5.6 <input type="checkbox"/> In case of a state-owned enterprise or institution, documents establishing legal and financial autonomy, operation in accordance with commercial law, and they are not under the supervision of the Kenya Ordnance Factories Corporation (KOFCC), in accordance with ITA 5.9. 2. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership.

4. Form CON 2 - Historical Contract Non-Performance, and Pending Litigation and Litigation History

[The following table shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member's Name: *[insert full name]*

ITT No. and title: *[insert ITT number and title]*

Page *[insert page number]* of *[insert total number]* pages

Non-Performed Contracts in accordance with Section III, Qualification Criteria and Requirements			
<input type="checkbox"/> Contract non-performance did not occur since 1 st January <i>[insert year]</i> specified in Section III, Qualification Criteria and Requirements, Sub-Factor 2.1.			
<input type="checkbox"/> Contract(s) not performed since 1 st January <i>[insert year]</i> specified in Section III, Qualification Criteria and Requirements, requirement 2.1			
Year	Non- performed portion of contract	Contract Identification	Total Contract Amount (current value, currency, exchange rate and KENYA SHILLING equivalent)
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i> Name of Kenya Ordnance Factories Corporation (KOFC): <i>[insert full name]</i> Address of Kenya Ordnance Factories Corporation (KOFC): <i>[insert street/city/country]</i> Reason(s) for nonperformance: <i>[indicate main reason(s)]</i>	<i>[insert amount]</i>
Pending Litigation, in accordance with Section III, Qualification Criteria and Requirements			
<input type="checkbox"/> No pending litigation in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 2.3.			
<input type="checkbox"/> Pending litigation in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 2.3 as indicated below.			
Year of dispute	Amount in dispute (currency)	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)
<i>insert year]</i>	<i>[insert amount]</i>	Contract Identification: <i>[indicate complete contract name, number, and any other identification]</i> Name of Kenya Ordnance Factories Corporation (KOFC): <i>[insert full name]</i> Address of Kenya Ordnance Factories Corporation (KOFC): <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Kenya Ordnance Factories Corporation (KOFC)" or "Contractor"]</i> Status of dispute: <i>[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i>	<i>[insert amount]</i>
Litigation History in accordance with Section III, Qualification Criteria and Requirements			
<input type="checkbox"/> No Litigation History in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 2.4.			
<input type="checkbox"/> Litigation History in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 2.4			

as indicated below.			
Year of award	Outcome as percentage of Net Worth	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)
<i>[insert year]</i>	<i>[insert percentage]</i>	Contract Identification: [indicate complete contract name, number, and any other identification] Name of Kenya Ordnance Factories Corporation (KOFC): <i>[insert full name]</i> Address of Kenya Ordnance Factories Corporation (KOFC): <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate “Kenya Ordnance Factories Corporation (KOFC)” or “Contractor”]</i> Reason(s) for Litigation and award decision <i>[indicate main reason(s)]</i>	<i>[insert amount]</i>

5. Form FIN – 3.1 - Financial Situation and Performance

Financial Situation and Performance

[The following table shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name:

Date:

Joint Venture Member Name: *[insert full name]*

ITT No. and title: *[insert ITT number and title]*

Page..... *[insert page number]* of *[insert total number]* pages

1. Financial data

Type of Financial information in (currency)	Historic information for previous <i>_[insert number] years, [insert in words]</i> (amount in currency, currency, exchange rate*, USD equivalent)				
	Year 1	Year 2	Year 3	Year4	Year 5
Statement of Financial Position (Information from Balance Sheet)					
Total Assets (TA)					
Total Liabilities (TL)					
Total Equity/Net Worth (NW)					
Current Assets (CA)					
Current Liabilities (CL)					
Working Capital (WC)					
Information from Income Statement					
Total Revenue (TR)					
Profits Before Taxes (PBT)					
Cash Flow Information					
Cash Flow from Operating Activities					

* Refer ITA 14 for the exchange rate

Sources of Finance

[The following table shall be filled in for the Applicant and all parties combined in case of a Joint Venture]

Specify sources of finance to meet the cash flow requirements on works currently in progress and for future contract commitments.

No.	Source of finance	Amount (Kenya shilling equivalent)
1		
2		
3		

5.2 Financial documents

The Applicant and its parties shall provide copies of financial statements for *[number]* years pursuant Section III, Qualifications Criteria and Requirements, Sub-factor 3.1. The financial statements shall:

- a) reflect the financial situation of the Applicant or in case of JV member, and not an affiliated entity (such as parent company or group member).
- b) Be independently audited or certified in accordance with local legislation.
- c) Be complete, including all notes to the financial statements.
- d) Correspond to accounting periods already completed and audited.

☐ Attached are copies of financial statements¹ for the *[number]* years required above; and complying with the requirements

¹If the most recent set of financial statements is for a period earlier than 12 months from the date of Application, the reason for this should be justified.

6 Form FIN - 3.2 - Average Annual Construction or Supply Contracts Turnover

[The following table shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

ITT No. and title: *[insert ITT number and title]*

Page.....*[insert page number]* of*[insert total number]* pages Table A

(Complete if Contractor)

Annual turnover data (construction only)			
Year	Amount Currency	Exchange rate*	USD equivalent
<i>[indicate calendar year]</i>	<i>[insert amount and indicate currency]</i>		
		Average Annual Construction Turnover **	

* Refer ITA 14 for date and source of exchange rate.

** Total Kenya shilling equivalent for all years divided by the total number of years.
See Section III, Qualification Criteria and Requirements, 3.2.

Table B (Complete if Supplier)

Annual turnover data (Supply contracts)			
Year	Amount Currency	Exchange rate*	USD equivalent
<i>[indicate calendar year]</i>	<i>[insert amount and indicate currency]</i>		
		Average Annual Construction Turnover **	

Refer ITA 15 for date and source of exchange rate.

** Total Kenya shilling equivalent for all years divided by the total number of years. See Section III, Qualification Criteria and Requirements, 3.2.

Form EXP - 4.1 - General Construction or Supply or service Contract Experience (Select one)

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

ITT No. and title: *[insert ITT number and title]*

Page *[insert page number]* of *[insert total number]* pages

[Identify contracts that demonstrate continuous construction work over the past [number] years pursuant to Section III, Qualification Criteria and Requirements, Sub-Factor 4.1. List contracts chronologically, according to their commencement (starting) dates.]

Starting Year	Ending Year	Contract Identification	Role of Applicant
<i>[indicate year]</i>	<i>[indicate year]</i>	Contract name: <i>[insert full name]</i> Brief Description of the Works performed by the Applicant: <i>[describe works performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and KENYA SHILLING equivalent*]</i> Name of Kenya Ordnance Factories Corporation (KOFC): <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	<i>[insert "Prime Contractor" or "JV Member" or "Sub-contractor" or "Management Contractor"]</i>
		Contract name: <i>[insert full name]</i> Brief Description of the Works performed by the Applicant: <i>[describe works performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and KENYA SHILLING equivalent*]</i> Name of Kenya Ordnance Factories Corporation (KOFC): <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	<i>[insert "Prime Contractor" or "JV Member" or "Sub-contractor" or "Management Contractor"]</i>
		Contract name: <i>[insert full name]</i> Brief Description of the Works performed by the Applicant: <i>[describe works performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and Kenya shillings equivalent*]</i> Name of Kenya Ordnance Factories Corporation (KOFC): <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	<i>[insert "Prime Contractor" or "JV Member" or "Sub-contractor" or "Management Contractor"]</i>

* Refer ITA 15 for date and source of exchange rate.

7 Form EXP - 4.2(a) - Specific Construction and Contract Management Experience or Supply or service Contract Experience (*Select one*)

[The following table shall be filled in for contracts performed by the Applicant, each member of a Joint Venture, and Specialized Sub-contractors]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

ITT No. and title: *[insert ITT number and title]*

Page..... *[insert page number]* of..... *[insert total number]* pages

Similar Contract No. <i>[insert number] of [insert number of similar contracts required]</i>	Information			
Contract Identification	<i>[insert contract name and number, if applicable]</i>			
Award date	<i>[insert day, month, year, e.g., 15 June, 2015]</i>			
Completion date	<i>[insert day, month, year, e.g., 03 October, 2017]</i>			
Role in Contract <i>[check the appropriate box]</i>	Prime Contractor <input type="checkbox"/>	Member in JV <input type="checkbox"/>	Management Contractor <input type="checkbox"/>	Sub-contractor <input type="checkbox"/>
Total Contract Amount	<i>[insert total contract amount in local currency]</i>		KENYA SHILLING <i>[insert Exchange rate and total contract amount in KENYA SHILLING equivalent] *</i>	
If member in a JV or sub-contractor, specify share in value in total Contract amount and roles and responsibilities	<i>[insert a percentage amount]</i>	<i>[insert total contract amount in local currency]</i>	<i>[insert exchange rate and total contract amount in KENYA SHILLING equivalent] *</i>	
	<i>[insert roles and responsibilities]</i>			
Kenya Ordnance Factories Corporation (KOFC)'s Name:	<i>[insert full name]</i>			
Address:	<i>[indicate street / number / town or city / country]</i>			
Telephone/fax number	<i>[insert telephone/fax numbers, including country and city area codes]</i>			
E-mail:	<i>[insert e-mail address, if available]</i>			

8 Form EXP - 4.2(a) (cont.) - Specific Construction and/or Contract Management Experience (cont.)

Similar Contract No. <i>[insert number] of [insert number of similar contracts required]</i>	Information
Description of the similarity in accordance with Sub-Factor 4.2(a) of Section III:	
1. Amount	<i>[insert amount in local currency, exchange rate, KENYA SHILLING in words and in Figures]</i>
2. Physical size of required works items	<i>[insert physical size of items]</i>
3. Complexity	<i>[insert description of complexity]</i>
4. Methods/Technology	<i>[insert specific aspects of the methods/technology involved in the contract]</i>
5. Construction rate for key activities	<i>[insert rates and items]</i>
6. Other Characteristics	<i>[insert other characteristics as described in Section VII, Scope of Works]</i>

9 Form EXP - 4.2(b) - Supply Experience in Key Activities (*select one*)

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Applicant's JV Member's Name: *[N/A]*

Sub-contractor's Name..... (as per ITA 24.2 and 24.3): *[N/A]*

ITT No. and title: *[insert ITT*

number and title] Page.....*[insert page number]* of.....

[insert total number] pages

All Sub-contractors for key activities must complete the information in this form as per ITA 24.2 and 24.3 and Section III, Qualification Criteria and Requirements, 4.2.

1. Key Activity No. One: *[insert brief description of the Activity, emphasizing its specificity]* Total Quantity of Activity under the contract: _____

	Information			
Contract Identification	<i>[insert contract name and number, if applicable]</i>			
Award date	<i>[insert day, month, year, e.g., 15 June, 2015]</i>			
Completion date	<i>[insert day, month, year, e.g., 03 October, 2017]</i>			
Role in Contract <i>[check the appropriate box]</i>	Prime Contractor <input type="checkbox"/>	Member in JV <input type="checkbox"/>	Management Contractor <input type="checkbox"/>	Sub-contractor <input type="checkbox"/>
Total Contract Amount	<i>[insert total contract amount in contract currency(ies)]</i>		KENYA SHILLING <i>[insert exchange rate and total contract amount in KENYA SHILLING equivalent or Foreign Currency]</i>	
Quantity (Volume, number or rate of production, as applicable) performed under the contract per year or part of the year <i>[Insert extent of participation indicating actual quantity of key activity successfully completed in the role performed]</i>	Total quantity in the contract (i)	Percentage participation (ii)	Actual Quantity Performed (i) x (ii)	
Year 1				
Year 2				
Year 3				
Year 4				

Kenya Ordnance Factories Corporation (KOFC)'s	<i>[insert full name]</i>
Telephone/fax number E-mail:	<i>[indicate street / number / town or city / country] [insert telephone/fax numbers, including country and city area codes] [insert e-mail address, if available]</i>

2. Activity No. Two 3.

.....

	Information
Description of the key activities in accordance with Sub-Factor 4.2(b) of Section III:	
	<i>[insert response to inquiry indicated in left column]</i>

PART 2 - GOODS REQUIREMENT

SECTION V - SCOPE OF WORKS, Goods or Non-Consulting Services required

1. Description of the Works or Supply contract.
 2. Construction Period or Goods Supply Period or Non-Consulting Services Contract period.
 3. Site and Other Data.
-

Request For Review

FORM FOR REVIEW (r.203 (1))

PUBLIC PROCUREMENT ADMINISTRATIVE REVIEW BOARD

APPLICATION NO.....OF.....20.....

BETWEEN

.....APPLICANT

AND

.....RESPONDENT (Kenya Ordnance Factories Corporation (KOFC))

Request for review of the decision of the..... (Name of the Kenya Ordnance Factories Corporation (KOFC))
ofdated the...day of20.....in the matter of Tender No.....of20..... for
..... (Tender description).

REQUEST FOR REVIEW

I/We.....,the above named Applicant(s), of address: Physical address.....P. O. Box
No..... Tel. No.....Email, hereby request the Public Procurement Administrative Review
Board to review the whole/part of the above mentioned decision on the following grounds , namely:

- 1.
- 2.

By this memorandum, the Applicant requests the Board for an order/orders that:

- 1.
- 2.

SIGNED (Applicant) Dated on.....day of/...20.....

FOR OFFICIAL USE ONLY Lodged with the Secretary Public Procurement Administrative Review Board
on.....day of20.....

SIGNED

Board Secretary